ANNEX 15-A

Section 1: Central Government Entities

1. This Chapter applies to central government entities listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:

- (a) for procurement of goods and services: A\$81,800 or US\$58,550
- (b) for procurement of construction services: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in subparagraphs (a) and (b) shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia^{1,2}

1.	Agriculture, Fisheries and Forestry Portfolio
	Department of Agriculture, Fisheries and Forestry
	Dairy Adjustment Authority
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2. Attorney-General's Portfolio
   Attorney-General's Department
   Administrative Appeals Tribunal
   Australian Crime Commission
   Australian Customs Service
   Australian Federal Police
   AUSTRAC
   Classification Board
   Classification Review Board
   CrimTrac Agency
   Family Court of Australia
   Federal Court of Australia
   Federal Magistrates Court
   Human Rights and Equal Opportunity Commission
   Insolvency and Trustee Service Australia (ITSA)
   National Native Title Tribunal
   Office of Film and Literature Classification
   Office of Parliamentary Counsel
   Office of the Director of Public Prosecutions
   Office of the Privacy Commissioner
3. Communications, Information Technology and the Arts Portfolio
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Department of Communications, Information Technology and the Arts National Archives of Australia

Australian Government Information Management Office

4. Defence Portfolio

Department of Defence³ Department of Veterans' Affairs

5. <u>Education, Science and Training Portfolio</u> Department of Education, Science and Training Australian Research Council

6. <u>Employment and Workplace Relations Portfolio</u> Department of Employment and Workplace Relations Australian Industrial Registry Equal Opportunity for Women in the Workplace Agency Seafarers Safety, Rehabilitation and Compensation Authority (Seacare Authority)

7. <u>Environment and Heritage Portfolio</u> Department of Environment and Heritage Australian Greenhouse Office Bureau of Meteorology

National Oceans Office Office of the Renewable Energy Regulator

8. <u>Family and Community Services Portfolio</u> Department of Family and Community Services Centrelink

9. Finance and Administration Portfolio

Department of Finance and Administration Australian Electoral Commission Commonwealth Grants Commission ComSuper CSS Board⁴ PSS Board⁴

10. Foreign Affairs and Trade Portfolio

Department of Foreign Affairs and Trade AusAid Australia-Japan Foundation Australian Centre for International Agricultural Research

11. Health and Ageing Portfolio

Department of Health and Ageing Australian Radiation Protection and Nuclear Safety Agency (ARPANSA) National Blood Authority Professional Services Review Scheme

12. Immigration and Multicultural and Indigenous Affairs Portfolio

Department of Immigration and Multicultural and Indigenous Affairs Migration Review Tribunal Refugee Review Tribunal

13. Industry, Tourism and Resources Portfolio

Department of Industry, Tourism and Resources

Geoscience Australia IP Australia

14. Prime Minister and Cabinet Portfolio

Department of the Prime Minister and Cabinet Australian National Audit Office Australian Public Service Commission Commonwealth Ombudsman Office

Office of the Inspector-General of Intelligence and Security Office of the Official Secretary of the Governor-General

15. Transport and Regional Services Portfolio

Department of Transport and Regional Services National Capital Authority

16. Treasury Portfolio

Department of the Treasury Australian Bureau of Statistics Australian Competition and Consumer Commission Australian Office of Financial Management (AOFM) Australian Taxation Office Inspector General of Taxation National Competition Council Productivity Commission

17. Parliamentary Departments

Department of the House of Representatives Department of the Senate Department of Parliamentary Services

Notes to the Schedule of Australia

1. This Chapter covers only those entities subordinate to the relevant portfolio which are listed in this Schedule.

2. This Chapter does not cover the procurement of motor vehicles by any entity listed in this Section.

3. <u>Department of Defence</u>

(a) This Chapter does not cover the procurement of the following goods due to Article 22.2 (Essential Security):

There 22.2 (Essential Security).	
	Approximately equivalent to:
Weapons	FSC 10
Fire Control Equipment	FSC 12
Ammunition and Explosives	FSC 13
Guided Missiles	FSC 14

Aircraft and Airframe Structural Components	FSC 15
Aircraft Components and Accessories	FSC 16
Aircraft Launching, Landing, & Ground Handling Equipment	FSC 17
Space Vehicles	FSC 18
Ships, Small Craft, Pontoons and Floating Docks	FSC 19
Ship and Marine Equipment	FSC 20
Ground Effect Vehicles, Motor Vehicles, Trailers and Cycles	FSC 23
Engines, Turbines, and Components	FSC 28
Engines Accessories	FSC 29
Bearings	FSC 31
Water Purification and Sewage Treatment Equipment	FSC 46
Valves	FSC 48
Maintenance and Repair Shop Equipment	FSC 49
Prefabricated Structures and Scaffolding	
Communication, Detection, and Coherent Radiation Equipment	FSC 58
Electrical and Electronic Equipment Components	FSC 59
Fiber Optics Materials, Components, Assemblies, and	
Accessories	
Electric Wire, and Power and Distribution Equipment	FSC 61
Alarm, Signal and Security Detection Systems	FSC 63
Instruments and Laboratory Equipment	FSC 66
Specialty Metals	No Code

NB: Whether a good is included within the scope of this Note shall be determined solely according to the descriptions provided in the left column above. U.S. Federal Supply Codes are provided for reference purposes only. (For a complete listing of the United States Federal Supply Codes, to which the Australian categories are approximately equivalent, see http://www.scrantonrtg.com/secrc/fsc-codes/fsc.html.).

- (b) For Australia, this Chapter does not cover the following services, as elaborated in the Common Classification System and the WTO system of classification MTN.GNS/W/120, due to Article 22.2. (*For a complete listing of Common Classification System, see:* <u>http://www.tcc.mac.doc.gov/cgi-bin/doit.cgi?204:66:601961876:49#An1001.1b-2-B.</u>)
 - Design, development, integration, test, evaluation, maintenance, repair, modification, rebuilding and installation of military systems and equipment (approximately equivalent to relevant parts of U.S. Product Service Codes A & J)
 - Operation of Government-owned Facilities (approximately equivalent to U.S. Product Service Code M)
 - Space services (AR, B4 & V3)
 - Services in support of military forces overseas

- (c) This Chapter does not cover the procurement of goods and services by, or on behalf of, the Defence Intelligence Organisation, the Defence Signals Directorate, or the Defence Imagery and Geospatial Organisation.
- (d) In respect of Article 15.2, the Australian Government reserves the right, pursuant to Article 22.2, to maintain the Australian Industry Involvement program and its successor programs and policies.

4. <u>Department of Finance and Administration</u> This Chapter does not cover procurement by the PSS Board or the CSS Board of investment management, investment advisory, or master custody and safekeeping services for the purposes of managing and investing the assets of the CSS and PSS Funds.

Schedule of the United States¹

- 1. Advisory Commission on Intergovernmental Relations
- 2. Africa Development Foundation
- 3. Alaska Natural Gas Transportation System
- 4. American Battle Monuments Commission
- 5. Appalachian Regional Commission
- 6. Broadcasting Board of Governors
- 7. Commission of Fine Arts
- 8. Commission on Civil Rights
- 9. Commodity Futures Trading Commission
- 10. Consumer Product Safety Commission
- 11. Corporation for National and Community Service
- 12. Delaware River Basin Commission
- 13. Department of Agriculture²
- 14. Department of Commerce³
- 15. Department of Defense⁴
- 16. Department of Education
- 17. Department of Energy⁵
- 18. Department of Health and Human Services
- 19. Department of Homeland Security⁶
- 20. Department of Housing and Urban Development
- 21. Department of the Interior, including the Bureau of Reclamation
- 22. Department of Justice
- 23. Department of Labor
- 24. Department of State
- 25. Department of Transportation⁷
- 26. Department of the Treasury
- 27. Department of Veterans Affairs
- 28. Environmental Protection Agency

- 29. Equal Employment Opportunity Commission
- 30. Executive Office of the President
- 31. Export-Import Bank of the United States
- 32. Farm Credit Administration
- 33. Federal Communications Commission
- 34. Federal Crop Insurance Corporation
- 35. Federal Deposit Insurance Corporation
- 36. Federal Election Commission
- 37. Federal Home Loan Mortgage Corporation
- 38. Federal Housing Finance Board
- 39. Federal Maritime Commission
- 40. Federal Mediation and Conciliation Service
- 41. Federal Mine Safety and Health Review Commission
- 42. Federal Prison Industries, Inc.
- 43. Federal Reserve System
- 44. Federal Retirement Thrift Investment Board
- 45. Federal Trade Commission
- 46. General Services Administration⁸
- 47. Government National Mortgage Association
- 48. Holocaust Memorial Council
- 49. Inter-American Foundation
- 50. Merit Systems Protection Board
- 51. National Aeronautics and Space Administration (NASA)
- 52. National Archives and Records Administration
- 53. National Capital Planning Commission
- 54. National Commission on Libraries and Information Science
- 55. National Council on Disability
- 56. National Credit Union Administration
- 57. National Foundation on the Arts and the Humanities
- 58. National Labor Relations Board
- 59. National Mediation Board
- 60. National Science Foundation
- 61. National Transportation Safety Board
- 62. Nuclear Regulatory Commission
- 63. Occupational Safety and Health Review Commission
- 64. Office of Government Ethics
- 65. Office of the Nuclear Waste Negotiator
- 66. Office of Personnel Management
- 67. Office of Special Counsel
- 68. Office of Thrift Supervision
- 69. Overseas Private Investment Corporation
- 70. Peace Corps
- 71. Pennsylvania Avenue Development Corporation
- 72. Railroad Retirement Board
- 73. Securities and Exchange Commission

- 74. Selective Service System
- 75. Small Business Administration
- 76. Smithsonian Institution
- 77. Susquehanna River Basin Commission
- 78. United States Agency for International Development
- 79. United States International Trade Commission

Notes to the Schedule of the United States

1. Unless otherwise specified in this Schedule, all agencies subordinate to the listed entities are covered by this Chapter.

2. <u>Department of Agriculture</u>: This Chapter does not cover the procurement of agricultural goods made in furtherance of agricultural support programs or human feeding programs.

3. <u>Department of Commerce</u>: This Chapter does not cover shipbuilding activities of the U.S. National Oceanic and Atmospheric Administration (NOAA).

4. <u>Department of Defense</u>: This Chapter does not cover the procurement of the goods listed below. (*For aa complete listing of U.S. Federal Supply Classification, see www.scrantonrtg.com/secrc/fsc-codes/fsc.html.*)

(a)	FSC 11	Nuclear Ordnance
	FSC 18	Space Vehicles
	FSC 19	Ships, Small Craft, Pontoons, and Floating Docks (the part of this classification defined as naval vessels or major components of the hull or superstructure thereof)
	FSC 20	Ship and Marine Equipment (the part of this classification defined as naval vessels or major components of the hull or superstructure thereof)
	FSC 2310	Passenger Motor Vehicles (only Buses)
	FSC 2350	Combat, Assault & Tactical Vehicles, Tracked
	FSC 51	Hand Tools
	FSC 52	Measuring Tools
	FSC 60	Fibre Optics Materials, Components, Assemblies, and Accessories
	FSC 8140	Ammunition & Nuclear Ordnance Boxes, Packages & Special Containers
	FSC 83	Textiles, Leather, Furs, Apparel, Shoes, Tents, and Flags (all elements other than pins, needles, sewing kits, flagstaffs, flagpoles and flagstaff trucks)
	FSC 84	Clothing, Individual Equipment, and Insignia (all elements other than sub-class 8460 - luggage)
	FSC 89	Subsistence (all elements other than sub-class 8975-tobacco products).

- (b) "Specialty metals," defined as steels melted in steel manufacturing facilities located in the United States or its possessions, where the maximum alloy content exceeds one or more of the following limits, must be used in products purchased by the Department of Defense: (1) manganese, 1.65 percent; silicon, 0.60 percent; or copper, 0.60 percent; or which contains more than 0.25 percent of any of the following elements: aluminum, chromium, cobalt, columbium, molybdenum, nickel, titanium, tungsten or vanadium; (2) metal alloys consisting of nickel, iron-nickel and cobalt base alloys containing a total of other alloying metals (except iron) in excess of 10 per cent; (3) titanium and titanium alloys; or (4) zirconium base alloys.
- (c) For the United States, this Chapter generally does not cover the procurement of the goods in the following FSC categories, due to application of Article 22.2 (Essential Security):

FSC 10	Waapana
	Weapons
FSC 12	Fire Control Equipment
FSC 13	Ammunitions and Explosives
FSC 14	Guided Missiles
FSC 15	Aircraft and Airframe Structural Components
FSC 16	Aircraft Components and Accessories
FSC 17	Aircraft Launching, Landing, and Ground Handling
	Equipment
FSC 19	Ships, Small Craft, Pontoons, and Floating Docks
FSC 20	Ship and Marine Equipment
FSC 28	Engines, Turbines, and Components
FSC 31	Bearings
FSC 58	Communications, Detection, and Coherent Radiation
FSC 59	Electrical and Electronic Equipment Components
FSC 95	Metal Bars, Sheets, and Shapes

5. <u>Department of Energy</u>: This Chapter does not cover national security procurements made in support of safeguarding nuclear materials or technology and entered into under the authority of the *Atomic Energy Act*, or oil purchases related to the Strategic Petroleum Reserve.

- 6. <u>Department of Homeland Security:</u>
 - (a) This Chapter does not cover procurement by the Transportation Security Administration.
 - (b) The essential security interests of the United States equally apply to the United States Coast Guard.

7. <u>Department of Transportation</u>: This Chapter does not cover procurement by the Federal Aviation Administration.

8. <u>General Services Administration</u>: This Chapter does not cover the procurement of the goods in the following FSC categories:

FSC 51	Hand Tools
FSC 52	Measuring Tools
FSC 7340	Cutlery and Flatware

SECTION 2: REGIONAL GOVERNMENT ENTITIES

1. This Chapter applies to the regional government entities listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:

- (a) for procurement of goods and services: A\$666,000 or US\$477,000;
- (b) for procurement of construction services: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in paragraph 1 shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia

This Chapter covers only those entities specifically listed in this Schedule.

Australian Capital Territory

ACT Auditor-General's Office **ACT Electoral Commission** ACT Gambling and Racing Commission ACT Health ACT Insurance Authority ACT Planning and Land Authority ACT Planning and Land Council ACT Workcover **ACTION** Australia Capital Tourism Corporation Chief Minister's Department **Cultural Facilities Corporation** Department of Disability, Housing and Community Services Department of Education, Youth and Family Services Department of Justice and Community Safety Department of Treasury Department of Urban Services **Director of Public Prosecutions** Environment Commissioner Human Rights Office Legal Aid Office National Exhibition Centre Trust

Ombudsman of the ACT The Independent Competition and Regulatory Commission

For the entities listed for the Australian Capital Territory, this Chapter does not cover the procurement of health and welfare services, education services, utility services, or motor vehicles.

New South Wales

Agriculture and Fisheries Portfolio Department of Agriculture New South Wales Fisheries **Rural Assistance Authority** Safe Food Production Attorney General and Environment Portfolio Attorney General's Department Department of Environment and Conservation Legal Aid Commission Office of the Director of Public Prosecutions **Public Trust Office Commerce and Industrial Relations Portfolio** Department of Commerce Motor Accidents Authority Motor Vehicle Repair Industry Authority WorkCover Authority Community Services, Ageing, Disability Services, and Youth Portfolio Commission for Children and Young People Department of Ageing, Disability and Home Care Department of Community Services Office of the Children's Guardian Education and Training and Aboriginal Affairs Portfolio Aboriginal Housing Office Department of Aboriginal Affairs Department of Education and Training Office of the Board of Studies Energy and Utilities, Science and Medical Research, and Cancer Portfolio Ministry for Science and Medical Research Ministry of Energy, Utilities and Sustainability Gaming and Racing Portfolio Department of Gaming and Racing Health Portfolio Department of Health Health Care Complaints Commission Infrastructure and Planning and Natural Resources Portfolio Department of Infrastructure, Planning and Natural Resources

Sydney Harbour Foreshore Authority Justice Portfolio **Department of Corrective Services** Juvenile Justice and Planning Administration Portfolio Department of Juvenile Justice Heritage Office Mineral Resources Portfolio Department of Mineral Resources Police Portfolio Ministry for Police New South Wales Crime Commission Police Integrity Commission Premier, Arts, and Citizenship Portfolio **Community Relations Commission** Ministry for the Arts Ombudsman's Office Parliamentary Counsel's Office Premier's Department State Electoral Office The Audit Office of New South Wales The Cabinet Office **Regional Development and Small Business Portfolio** Department of State and Regional Development Rural Affairs, Local Government, Emergency Services, and Lands Portfolio Department of Lands Department of Local Government Department of Rural Fire Service New South Wales Fire Brigades **State Emergency Service** Tourism and Sport and Recreation and Women Portfolio Department for Women Department of Tourism, Sport and Recreation Transport Services and Forests Portfolio Ministry of Transport State Forests, Forestry Commission Treasurer and State Development Portfolio Sydney Olympic Park Authority **NSW** Treasury

1. For the entities listed for New South Wales, this Chapter does not cover the procurement of health and welfare services, education services, or motor vehicles.

2. Australia shall phase-out the non-compliant offset and preference schemes of New South Wales within three years after the date of entry into force of this Agreement.

3. For the entities listed for New South Wales, the Chapter does not apply to procurements undertaken by a covered entity on behalf of a non-covered entity.

Northern Territory

Chief Minister's Portfolio Department of the Chief Minister Auditor General's Office Department of Legislative Assembly Ombudsman's Office **Remuneration Tribunal** Asian Relations and Trade, Business and Industry, Mines and Energy, Primary Industry and Fisheries and Defence Support Portfolio Department of Business, Industry and Resource Development Indigenous Affairs Portfolio Aboriginal Areas Protection Authority Arts and Museums, Community Development, Sport and Recreation, Regional Development Portfolio Department of Community Development, Sport and Cultural Affairs Museum and Art Galleries Strehlow Centre Board Employment, Education and Training Portfolio Office of the Commissioner for Public Employment Northern Territory Employment and Training Authority Work Health Authority Health, Family and Community Services Portfolio Department of Health and Community Services Health and Community Services Complaints Commission Justice and Attorney -General's Portfolio Department of Justice Lands and Planning Portfolio Land Development Corporation Parks and Wildlife Portfolio Parks and Wildlife Commission of the Northern Territory Police, Fire and Emergency Services Portfolio Northern Territory Emergency Service Northern Territory Fire and Rescue Service Police Force of the Northern Territory Racing, Gaming and Licensing Portfolio Northern Territory Licensing Commission **Racing Commission** Tourism Portfolio Northern Territory Tourist Commission **Treasury Portfolio** Northern Territory Treasury

Utilities Commission of the Northern Territory

1. For the entities listed for the Northern Territory, this Chapter does not cover set-asides on behalf of the Charles Darwin University pursuant to Partnership Agreements between the Northern Territory Government and Charles Darwin University.

2. Australia shall phase-out the non-compliant parts of its *Building Northern Territory Industry Participation* program within three years after the date of entry into force of this Agreement.

Queensland

Aboriginal and Torres Strait Islander Policy Portfolio Department of Aboriginal and Torres Strait Islander Policy Attorney-General and Justice Portfolio Department of Justice and Attorney-General Public Trustee of Queensland Child Safety Portfolio Department of Child Safety Communities and Disability Services Portfolio Department of Communities and Disability Services Queensland Deputy Premier, Treasurer and Sport Portfolio **Treasury Department Government Superannuation Office** Motor Accident Insurance Commission Nominal Defendant Office of Economical and Statistical Research Office of State Revenue Queensland Office of Gaming and Regulation **Emergency Services Portfolio** Department of Emergency Services **Oueensland Ambulance Service Oueensland Fire Service Environment Portfolio Environmental Protection Agency Queensland Parks and Wildlife Service** Local Government, Planning and Women Portfolio Department of Local Government, Planning, Sport and Recreation Sport and Recreation Queensland Office for Women Natural Resources, Mines and Energy Portfolio Department of Natural Resources, Mines and Energy Police and Corrective Services Portfolio **Oueensland Police Service** Department of Corrective Services

Premier and Trade Portfolio Department of the Premier and Cabinet Office of the Queensland Parliamentary Counsel Office of Public Sector Merit and Equity **Primary Industries Portfolio** Department of Primary Industries and Fisheries **DPI** Forestry Public Works, Housing and Racing Portfolio Department of Public Works Department of Housing State Development and Innovation Portfolio Department of State Development and Innovation Tourism, Fair Trading and Wine Industry Development Department of Tourism, Fair Trading and Wine Industry Development Office of Fair Trading Transport and Main Roads Portfolio Department of Transport Department of Main Roads

- 1. For the entities listed for Queensland, this Chapter does not apply to procurements by covered entities on behalf of non-covered entities.
- 2. The procurement policies and procedures that are not compliant with the offsets provisions of this Chapter will be made compliant within three years from the date of entry into force of the Agreement.

3. For the entities listed for Queensland, this Chapter does not cover the procurement of health and welfare services, education services, government advertising and motor vehicles.

South Australia

Department of the Premier and Cabinet Arts SA Department of Treasury and Finance Independent Gambling Authority Department of Trade and Economic Development Department of Primary Industries and Resources SA Department of Justice Attorney-General's Department Department for Correctional Services Country Fire Services Country Fire Services Courts Administration Authority Emergency Services Administrative Unit South Australian Metropolitan Fire Services South Australian Police Department State Electoral Office

Auditor-General's Department Department of Human Services Department of Aboriginal Affairs and Reconciliation Department of Education and Children's Services Department of Further Education Employment, Science & Technology SA Tourism Commission Department for Environment and Heritage **Environment Protection Authority** Department of Water, Land and Biodiversity Conservation Department of Transport and Urban Planning **Transport Services** Transport Planning Office of Public Transport Board Planning SA Office for Sustainable Social, Environmental and Economic Development Office of Local Government Department for Administrative and Information Services State Supply Board

1. For the entities listed for South Australia, this Chapter does not cover the procurement of health and welfare services, education services, advertising services, or motor vehicles.

2. Any measure providing for inclusion of offsets in procurements will be phased out within three years of the date of entry into force of the Agreement.

<u>Tasmania</u>

Department of Education Department of Health and Human Services Department of Infrastructure, Energy and Resources Department of Justice Department of Police and Public Safety Department of Premier and Cabinet Department of Primary Industries, Water and Environment Department of Economic Development Department of Tourism, Parks, Heritage and the Arts Department of Treasury and Finance House of Assembly Legislative Council Legislature-General Office of the Governor Tasmanian Audit Office

For the entities listed for Tasmania, this Chapter does not cover the procurement of health and welfare services, education services, or advertising services.

<u>Victoria</u>

Departments

Department of Education and Training Department of Innovation Industry and Regional Development Department of Human Services Department of Infrastructure Department of Justice Department of Premier and Cabinet Department of Primary Industries Department of Sustainability and Environment Department of Treasury and Finance Department of Victorian Communities

Administrative Offices

Victorian Auditor-General's Office Office of Public Prosecutions Office of the Chief Commissioner of Police Office of the Ombudsman Office of the Commissioner for Public Employment Essential Services Commission Office of the Legal Ombudsman Victorian Electoral Commission Office of the Privacy Commissioner

1. For the entities listed for Victoria, this Chapter does not cover the procurement of motor vehicles.

2. Australia shall phase-out the non-compliant parts of its Victorian Industry Participation Policy within three years after the date of entry into force of this Agreement.

3. For the entities listed for Victoria, this Chapter does not apply to procurements by covered entities on behalf of non-covered entities.

Western Australia

Agriculture; Forestry and Fisheries; The Midwest, Wheatbelt, and Great Southern Portfolio Department of Agriculture Rural Business Development Corporation of Western Australia Department of Fisheries Mid West Development Commission Wheatbelt Development Commission Great Southern Development Commission <u>Attorney General; Health; Electoral Affairs Portfolio</u> Office of the Director of Public Prosecutions Office of the Information Commissioner

Law Reform Commission of Western Australia Equal Opportunity Commission Department of Health Western Australian Electoral Commission Community Development, Women's Interests, Seniors and Youth; Disability Services; Culture and the Arts Portfolio Department for Community Development **Disability Services Commission** Department of Culture and the Arts Consumer and Employment Protection; Indigenous Affairs Portfolio Department of Consumer and Employment Protection Department of Indigenous Affairs Department of the Registrar, Western Australian Industrial Relations Commission Education and Training Portfolio Department of Education and Training **Country High Schools Hostels Authority** Curriculum Council of Western Australia **Department of Education Services** Environment Portfolio Department of Conservation and Land Management Botanic Gardens and Parks Authority Department of Environment Office of Water Policy Swan River Trust Water and Rivers Commission Zoological Gardens Board Housing and Works; Racing and Gaming; Government Enterprises; Land Information Portfolio Department of Housing and Works State Supply Commission of Western Australia Department of Racing, Gaming and Liquor Local Government and Regional Development; Heritage; the Kimberley, Pilbara and Gascoyne; Goldfields-Esperance Portfolio Department of Local Government and Regional Development Heritage Council of WA National Trust of Australia (WA) **Kimberley Development Commission** Pilbara Development Commission Gascoyne Development Commission **Goldfields Esperance Development Commission** Planning and Infrastructure Portfolio Department for Planning and Infrastructure Main Roads Western Australia Western Australian Planning Commission **Public Transport Authority** Department of Land Information

Police and Emergency Services; Justice; Community Safety Portfolio Fire and Emergency Services Authority of Western Australia Department of Justice Office of the Inspector of Custodial Services Western Australia Police Service Premier; Public Sector Management; Federal Affairs; Science; Citizenship and Multicultural Interests Portfolio Department of the Premier and Cabinet Governor's Establishment Office of the Public Sector Standards Commission Salaries and Allowances Tribunal State Development Portfolio Department of Industry and Resources Minerals and Energy Research Institute of Western Australia Tourism; Small Business; Sport and Recreation; Peel and the South West Portfolio Western Australian Tourism Commission **Small Business Development Corporation Rottnest Island Authority Recreation Camps and Reserves Board** Department of Sport and Recreation Western Australian Sports Centre Trust Peel Development Commission South West Development Commission Treasury; Energy Portfolio Department of Treasury and Finance Office of Energy Perth International Centre for Application of Solar Energy Parliament Legislative Assembly Legislative Council Office of the Auditor General Office of the Parliamentary Commissioner for Administrative Investigations **Corruption and Crime Commission** Parliamentary Services Department

Schedule of the United States

This Chapter covers procurement only by those entities listed in this Schedule.

<u>Arkansas</u>

Executive branch agencies, including universities

For the entities listed for Arkansas, this Chapter does not cover procurement by the Office of Fish and Game or construction services.

<u>California</u> Executive branch agencies

<u>Colorado</u> Executive branch agencies

Connecticut

Department of Administrative Services Connecticut Department of Transportation Connecticut Department of Public Works Constituent Units of Higher Education

Delaware*

Administrative Services (Central Procurement Agency) State Universities State Colleges

<u>Florida</u>* Executive branch agencies

<u>Georgia</u>

Department of Administrative Services Georgia Technology Authority

For the entities listed for Georgia, this Chapter does not cover the procurement of beef, compost, or mulch.

<u>Hawaii</u>

Department of Accounting and General Services

For the entities listed for Hawaii, this Chapter does not cover procurement of software developed in the state or construction services.

<u>Idaho</u>

Central Procurement Agency (including all colleges and universities subject to central purchasing oversight)

<u>Kansas</u>

Executive branch agencies

For the entities listed for Kansas, this Chapter does not cover the procurement of construction services, automobiles, or aircraft.

<u>Kentucky</u>

Division of Purchases, Finance and Administration Cabinet

15-A-20

For the entity listed for Kentucky, this Chapter does not cover procurement for construction projects.

Louisiana

Executive branch agencies

Maine*

Department of Administrative and Financial Services Bureau of General Services (covering state government agencies and school construction) Maine Department of Transportation

Maryland*

Office of the Treasury Department of the Environment Department of General Services Department of Housing and Community Development Department of Human Resources Department of Licensing and Regulation Department of Natural Resources Department of Personnel Department of Public Safety and Correctional Services Department of Transportation

<u>Mississippi</u>

Department of Finance and Administration

For the entities listed for Mississippi, this Chapter does not cover the procurement of services.

<u>Nebraska</u>

Central Procurement Agency

<u>New Hampshire*</u>

Central Procurement Agency

<u>New York*</u>

State agencies State university system Public authorities and public benefit corporations

1. For the entities listed for New York, this Chapter does not cover public authorities and public benefit corporations with multi-state mandates.

2. For the entities listed for New York, this Chapter does not cover the procurement of transit cars, buses, or related equipment.

Oregon

Department of Administrative Services

Pennsylvania*

Executive branch agencies, including: Governor's Office Department of the Auditor General **Treasury Department** Department of Agriculture Department of Banking Pennsylvania Securities Commission Department of Health Department of Transportation **Insurance Department** Department of Aging Department of Correction Department of Labor and Industry Department of Military Affairs Office of Attorney General Department of General Services Department of Education **Public Utility Commission** Department of Revenue Department of State Pennsylvania State Police Department of Public Welfare **Fish Commission** Game Commission Department of Commerce Board of Probation and Parole Liquor Control Board Milk Marketing Board Lieutenant Governor's Office Department of Community Affairs Pennsylvania Historical and Museum Commission Pennsylvania Emergency Management Agency State Civil Service Commission Pennsylvania Public Television Network Department of Environmental Resources State Tax Equalization Board Department of Public Welfare State Employees' Retirement System Pennsylvania Municipal Retirement Board

Public School Employees' Retirement System Pennsylvania Crime Commission Executive Offices

Rhode Island

Executive branch agencies

For the entities listed for Rhode Island, this Chapter does not cover the procurement of boats, automobiles, buses, or related equipment.

South Dakota

Central Procuring Agency (including universities and penal institutions)

For the entities listed for South Dakota, this Chapter does not cover procurement of beef.

Texas

Texas Building and Procurement Commission

For the entity listed for Texas, this Chapter does not apply to preferences for: (1) motor vehicles; (2) travel agents located in Texas; or (3) rubberized asphalt paving made from scrap tires by a Texas facility.

<u>Utah</u>

Executive branch agencies

Vermont

Executive branch agencies

Washington

Washington State executive branch agencies, including: General Administration Department of Transportation State Universities

For the entities listed for Washington, this Chapter does not cover the procurement of fuel, paper products, boats, ships, or vessels.

Wyoming*

Procurement Services Division Wyoming Department of Transportation University of Wyoming

Notes to the Schedule of the United States

1. For the United States regional entities marked by an asterisk (*), indicating pre-existing

restrictions, this Chapter does not cover procurement of construction-grade steel (including requirements on subcontracts), motor vehicles, or coal.

2. For the United States regional entities, this Chapter does not apply to preferences or restrictions associated with programs promoting the development of distressed areas or businesses owned by minorities, disabled veterans, or women.

3. Nothing in this Annex shall be construed to prevent any state entity from applying restrictions that promote the general environmental quality in that state, as long as such restrictions are not disguised barriers to international trade.

4. This Chapter does not cover any procurement made by a covered entity on behalf of noncovered entities at a different level of government.

5. For the United States regional entities, this Chapter does not apply to restrictions attached to Federal funds for mass transit and highway projects.

6. For the United States regional entities, this Chapter does not cover the procurement of printing services.

SECTION 3: Government Enterprises

1. This Chapter applies to the government enterprises listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:

- (a) for procurement of goods and services:
 - (i) of List A entities, A\$409,000 or US\$292,751; or
 - (ii) of List B entities, US\$538,000 ; and
- (b) for procurement of construction services for List A and List B entities: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in sub-paragraphs (a) and (b) shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia^{1,2}

List A:

- 1. Aged Care Standards and Accreditation Agency Ltd.
- 2. Australian Accounting Standards Board
- 3. Australian Broadcasting Authority
- 4. Australian Communications Authority
- 5. Australian Fisheries Management Authority
- 6. Australian Institute of Criminology
- 7. Australian Institute of Health and Welfare
- 8. Australian Institute of Marine Science
- 9. Australian Law Reform Commission
- 10. Australian Maritime Safety Authority
- 11. Australian National Maritime Museum
- 12. Australian Nuclear Science and Technology Organization
- 13. Australian Pesticides and Veterinary Medicines Authority
- 14. Australian Prudential Regulation Authority
- 15. Australian Securities and Investments Commission
- 16. Tourism Australia
- 17. Australian Trade Commission (Austrade)
- 18. Australian War Memorial³
- 19. Comcare
- 20. Commonwealth Scientific and Industrial Research Organisation
- 21. Corporations and Markets Advisory Committee
- 22. Export Finance and Insurance Corporation

- 23. Grains Research and Development Corporation
- 24. Great Barrier Reef Marine Park Authority
- 25. Health Insurance Commission
- 26. Land and Water Resources Research and Development Corporation
- 27. National Gallery of Australia
- 28. National Museum of Australia
- 29. National Occupational Health and Safety Commission
- 30. Reserve Bank of Australia
- 31. Sydney Harbour Federation Trust
- 32. The Director of National Parks
- 33. The National Institute of Clinical Studies Ltd.

Notes to the Schedule of Australia

1. For the entities listed in Australia's list A, this Chapter covers only those entities listed in this Schedule.

2. For the entities listed in Australia's list A, this Chapter does not cover the procurement of motor vehicles.

3. This Chapter does not cover procurement of telecommunications services by the Australian War Memorial.

Schedule of the United States

List A:

- 1. Tennessee Valley Authority
- 2. Bonneville Power Administration
- 3. Western Area Power Administration
- 4. Southeastern Power Administration
- 5. Southwestern Power Administration
- 6. St. Lawrence Seaway Development Corporation

List B:

Rural Utilities Service¹

Notes to the Schedule of the United States

- 1. The Rural Utilities Service shall:
 - (a) waive federal buy national requirements imposed as conditions of funding for all power generation projects; and

(b) apply procurement procedures equivalent to the procedures in the WTO Agreement on Government Procurement and national treatment to funded projects exceeding the thresholds specified above.

1. For greater clarity, this Chapter does not apply to any other aspect of procurement by the Rural Utilities Service, including any restrictions the Rural Utilities Service places on financing for telecommunications projects.

2. With respect to procurement by entities listed in this Section, this Chapter does not apply to restrictions attached to Federal funds for airport projects.

SECTION 4: GOODS

This Chapter applies to all goods procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

SECTION 5 : SERVICES

This Chapter applies to all services procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

Schedule of Australia

This Chapter does not cover the procurement of plasma fractionation services or government advertising services.

Schedule of the United States

This Chapter does not cover the procurement of the following services, as elaborated in the Common Classification System and the WTO system of classification – MTN.GNS/W/120. (*For complete listing of Common Classification System, see:* <u>http://www.tcc.mac.doc.gov/cgi-bin/doit.cgi?204:66:601961876:49#An1001.1b-2-B</u>.)

Basic telecommunications network and services listed in paragraph 2C(a) through (g) of WTO document MTN.GNS/W/120, such as public voice and data services. This exclusion does not include information services, as defined in 47 United States Code (USC) 153(20). See http://www.gpoaccess.gov/uscode/search.html.

J. Maintenance, Repair, Modification, Rebuilding and Installation of Goods/ Equipment

J019	Maintenance, Repair, Modification, Rebuilding and Installation of
	Equipment Related to Ships
J998	Non-nuclear Ship Repair

M. Operation of Government-Owned Facilities:

All facilities operated by the Department of Defense, Department of Energy, and the National Aeronautics and Space Administration; and for all entities in Section 1 through Section 3: M180 Research and Development facilities

- S. Utilities: All Classes
- V. **Transportation, Travel and Relocation Services**: All Classes except V503 Travel Agent Services

Note to the Schedule of the United States

1. This Chapter does not cover the procurement of any service in support of military forces overseas.

SECTION 6: CONSTRUCTION SERVICES

This Chapter applies to all construction services procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

Schedule of the United States

This Chapter does not cover the procurement of dredging services.

Note to Section 6

1. Buy national requirements on articles, supplies, or materials acquired for use in construction services contracts covered by this Chapter shall not apply to goods of either Party.

SECTION 7: GENERAL NOTES

Unless otherwise specified herein, the following General Notes in each Party's Schedule apply without exception to this Chapter, including to all sections of this Annex.

Schedule of Australia

This Chapter does not apply to:

- (a) any form of preference to benefit small and medium enterprises;
- (b) measures to protect national treasures of artistic, historic, or archaeological value;
- (c) measures for the health and welfare of indigenous people; and
- (d) measures for the economic and social advancement of indigenous people.

Schedule of the United States

1. This Chapter does not apply to set asides on behalf of small or minority businesses. Setasides include any form of preference, such as the exclusive right to provide a good or service and price preferences.

2. Where a contract is to be awarded by an entity that is not listed in Section 1, 2 or 3, this Chapter shall not be construed as covering any good or service component of that contract.

3. This Chapter does not apply to the procurement of transportation services that form a part of, or are incidental to, a procurement contract.

SECTION 8: THRESHOLD ADJUSTMENT FORMULA

1. The thresholds in Sections 1 through 3 shall be adjusted at two-year intervals with each adjustment taking effect in January, beginning on January 1, 2006.

2. With regard to thresholds for goods and services in Section 1 and for goods and services for List A entities referred to in Section 3, the U.S. dollar value for each threshold shall be calculated every two years, based on the U.S. inflation rate measured by the Producer Price Index for Finished Goods published by the U.S. Bureau of Labor Statistics, using the two-year time that ends on October 31 in the year prior to the adjustment taking effect, and using the following formula:

 $T_{1=}T_0 x (1+i)$

 T_0 = threshold value at base period _i = accumulated U.S. inflation rate for the "i"th two year-period T_1 = new threshold value; and

3. The thresholds for goods and services in Section 2, for goods and services for List B entities in Section 3, and for construction services in Sections 1 through 3 are conversions into U.S. dollars of the thresholds listed in the U.S. Appendix 1 to the WTO Agreement on Government Procurement, which are set out in Special Drawing Rights (SDRs) and listed below. Adjustments of these thresholds shall be calculated, based on an average of the daily conversion rates of the U.S. dollar in terms of SDRs published by the IMF in its monthly "International Financial Statistics", for the two-year period preceding October 1 or November 1 of the year before the adjusted thresholds are to take effect:

- (a) 5 million SDRs for construction services;
- (b) 355,000 SDRs for goods and services for Section 2 entities; and
- (c) 400,000 SDRs for goods and services for Section 3 List B entities.

4. The U.S. dollar value of the adjusted thresholds shall be converted into the Australian dollar based on the official conversion rate of the Reserve Bank of Australia, using the average of the daily values of the Australian dollar in terms of the U.S. dollar over the two-year period ending September 30 in the year prior to the adjustments taking effect, and rounded to the nearest thousand Australian dollars;

5. Each Party shall notify the other Party of the adjusted thresholds in their respective currencies; and

6. The Parties shall consult if a major change in a national currency vis-à-vis the other currency were to create a significant problem with regard to the application of the Chapter.